

12/31/01  
JC525 U.S. PTO

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Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                               |  |
|-------------------------------|--|
| <i>Attorney Docket No.</i>    | 8016-1 DIV                                     |
| <i>First Inventor</i>         | Joan M. Fallon                                 |
| <i>Title</i>                  | Methods for Treating Pervasive Development.... |
| <i>Express Mail Label No.</i> | EL922712095US                                  |

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 30]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 17 ]
5. Oath or Declaration [ Total Pages 2 ]
- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of *(when there is an assignee)* Attorney
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. PTO/SB/17
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No.: 09 / 466,559

Prior application information: Examiner P. Holbrook

Group Art Unit: 1647

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

|  |   |           |                                   |  |
|--|---|-----------|-----------------------------------|--|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here)                                 |           |                                   | <input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below |
| Name   | Frank Chau  |           |                                   |  |
| Address  | F. CHAU & ASSOCIATES, LLP<br>1900 Hempstead Turnpike, Suite 501                     |           |                                   |  |
| City   | East Meadow   | State     | New York                          | Zip Code 11554   |
| Country  | U.S.A   | Telephone | (516) 357-0091                    | Fax (516) 357-0092   |
| Name (Print/Type)  | Frank V. DeRosa   |           | Registration No. (Attorney/Agent) | 43,584   |
| Signature  |  |           | Date                              | 12/31/01   |

10/04/01  
12/31/01  
U.S. PRO  
278cf

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**UTILITY APPLICATION FEE TRANSMITTAL**

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Joan M. Fallon

For: METHODS FOR TREATING PERVERSIVE DEVELOPMENT  
DISORDERS

**This application is a:**

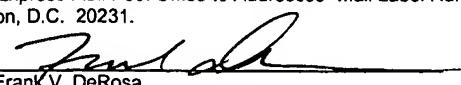
- [ ] Continuation and claims priority benefits under 35 U.S.C. §120  
[ ] Continuation-In-Part (CIP)  
[X] Divisional of co-pending U.S. Application Serial No. 09/466,559 filed on  
December 17, 1999

Enclosed are:

- [X] 23 page(s) of specification  
[X] 1 page(s) of Abstract  
[X] 6 page(s) of claims  
[X] 17 sheets of drawings [X] formal [] informal  
[X] 2 page(s) of Declaration and Power of Attorney

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date December 31, 2001, in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL922712095US addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

  
Frank V. DeRosa

Certified copy of applications

Country

Appln. No.

Filed

from which priority under Title 35 United States Code, § 119 is claimed  
 is enclosed.

will follow.

#### CALCULATION OF UTILITY APPLICATION FEE

| For  | Number Filed | Number Extra | Rate   | Basic Fee \$740.00    |
|--|--------------|--------------|--------|-----------------------|
| TOTAL CLAIMS                                 | 26           | 6            | x 18 = | \$108.00              |
| INDEPENDENT CLAIMS                           | 4            | 1            | x 84 = | \$84.00               |
| <input type="checkbox"/> Multiple Dep. Claim |              |              | 280    | \$0                   |
|  |              |              |        | <b>TOTAL \$932.00</b> |

- [X] Applicant claims Small Entity Status Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith \$ 466.00.

\*Includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. § 1.75(c).

- 400-442-2000
- The amount of \$40.00 for recording the attached Assignment is enclosed as a separate check.
  - Check in the amount of \$ 466.00 to cover the  recording,  filing fee(s) is attached.
  - Charge \$ \_\_\_\_\_ fee to Deposit Account No. 05-0679. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
  - Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Date: December 31, 2001

F. CHAU & ASSOCIATES, LLP  
Suite 501  
1900 Hempstead Turnpike  
East Meadow, New York 11554  
Tel. No. (516) 357-0091  
Fax. (516) 357-0092

  
\_\_\_\_\_  
Frank V. DeRosa  
Reg. No. 43,584

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# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 466.00)

## Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   |                |
| Filing Date          |                |
| First Named Inventor | Joan M. Fallon |
| Examiner Name        |                |
| Group Art Unit       |                |
| Attorney Docket No.  | 8016-1 DIV     |

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |                           |
|------------------------|---------------------------|
| Deposit Account Number | 50-0679                   |
| Deposit Account Name   | F. CHAU & ASSOCIATES, LLP |

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description   | Fee Paid |
|----------------------------|----------------------------|---|----------|
| 105                        | 130                        | 205 65 Surcharge - late filing fee or oath  |          |
| 127                        | 50                         | 227 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                        | 130                        | 139 130 Non-English specification   |          |
| 147                        | 2,520                      | 147 2,520 For filing a request for ex parte reexamination                         |          |
| 112                        | 920*                       | 112 920* Requesting publication of SIR prior to Examiner action                   |          |
| 113                        | 1,840*                     | 113 1,840* Requesting publication of SIR after Examiner action                    |          |
| 115                        | 110                        | 215 55 Extension for reply within first month                                     |          |
| 116                        | 400                        | 216 200 Extension for reply within second month                                   |          |
| 117                        | 920                        | 217 460 Extension for reply within third month                                    |          |
| 118                        | 1,440                      | 218 720 Extension for reply within fourth month                                   |          |
| 128                        | 1,960                      | 228 980 Extension for reply within fifth month                                    |          |
| 119                        | 320                        | 219 160 Notice of Appeal  |          |
| 120                        | 320                        | 220 160 Filing a brief in support of an appeal                                    |          |
| 121                        | 280                        | 221 140 Request for oral hearing  |          |
| 138                        | 1,510                      | 138 1,510 Petition to institute a public use proceeding                           |          |
| 140                        | 110                        | 240 55 Petition to revive - unavoidable   |          |
| 141                        | 1,280                      | 241 640 Petition to revive - unintentional  |          |
| 142                        | 1,280                      | 242 640 Utility issue fee (or reissue)  |          |
| 143                        | 460                        | 243 230 Design issue fee  |          |
| 144                        | 620                        | 244 310 Plant issue fee   |          |
| 122                        | 130                        | 122 130 Petitions to the Commissioner   |          |
| 123                        | 50                         | 123 50 Processing fee under 37 CFR 1.17(q)  |          |
| 126                        | 180                        | 126 180 Submission of Information Disclosure Stmt                                 |          |
| 581                        | 40                         | 581 40 Recording each patent assignment per property (times number of properties) |          |
| 146                        | 740                        | 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 149                        | 740                        | 249 370 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 179                        | 740                        | 279 370 Request for Continued Examination (RCE)                                   |          |
| 169                        | 900                        | 169 900 Request for expedited examination of a design application                 |          |

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

## SUBMITTED BY

## Complete (if applicable)

|                   |   |                                      |        |           |                |
|-------------------|---|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Frank V. DeRosa   | Registration No.<br>(Attorney/Agent) | 43,584 | Telephone | (516) 357-0091 |
| Signature         |  |                                      |        |           |                |
|                   | Date December 31, 2001  |                                      |        |           |                |